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OFFICIAL COMMUNICATION

FAX NO.: (703) 872-9306

Date: November 12, 2004

Sender: Mary Deauclaire, Patent Secretary to Elise R. Heilbrunn

Application No. 09/465,994

Our Ref. No.: SUN1P507/P4152

Re: Foreign references cited separately

Pages Including Cover Sheet(s):

Resending Nov. 12

MESSAGE:

Attached are:

- copy of Office Communication from Examiner Thomas Pham,
 - Art Unit 2121, dated 10/29/04
- 2) New Information Disclosure Statement Transmittal with Certificate of Transmission
- New Form 1449
- 4) copies of 3 (three) cited references
 - a) Second Office Action issued July 9, 2004 for Chinese Patent Application No. 00810268.6, filed July 13, 2000
 - b) EP0524071A1
 - c) EP0908821A1

for the above-referenced application.

Please call me directly at (510) 495-3224 if you have any questions.

CONFIDENTIALITY NOTE

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PAGE 1/15* RCVD AT 11/12/2004 6:50:15 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-1/0 * DNIS:8729306 * CSID:15108436203 * DURATION (mm-ss):04-18



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PPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO	
09/465,994	12/16/1999	TAO YE	SUNLP507	9656	
BEYER WEAVER & THOMAS LLP P.O. BOX 778 BERKELEY, CA 94704-0778			5XAM	INER	
			Pham, Thomas K		
			ART UNIT	PAPER NUMBER	
			2121		
			DATE MARKET ALICENSE	•	

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

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APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	A	TTORNEY DOCKET NO.
09/465,994	12/16/1999	YE, etal.	5	UN 1P507/P415
			E	XAMINER
			THOMAS	PHAM
			ART UNIT	PAPER
			2/2/	20041028

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Commissioner for Patents

Attached are the PTO-1449s submitted on November 10, 2003 and September 20, 2004.

Applicants need to list the two foreign references cited by the Chinese Patent Office separately in order to obtain consideration.

The Certification under 37CFR. 1.97(e) must also be made with respect to U.S.

19/29/2

Anthony Knight
Supervisory Patent Examiner
Group 3600

Judy for

Thomas K. Pham

Patent Examiner

PTO-90C (Rev.04-03)



Form 1449 (Modified)

Atty Docket

Application No.:

No.SUN1P507/P4152

O

09/465,994

Information Disclosure Statement By Applicant

Applicant: Ye, et al.

Filing Date 12-16-1999

Group 2121

(Use Several Sheets if Necessary)

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- class	Filing Date
<u></u>	A						
<u> </u>	B						

Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication			Sub-	Trans	lation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
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Other Documents

Examiner		Out Documents	w
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication	
	E	Second Office Action issued July 9, 2004, citing previously-cited docume EP0524071A1 and EP0908821A1; Chinese Patent Application No. 00810268.6, filed July 13, 2000, Methods and Apparatus For Managing Application According To an Application Lifecycle.	
	F		·

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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